

JOURNEES GN-MEBA 2023 (ROUEN)

# Convolutional Neural Networks applied to EBSD maps to improve phase discriminations in steels

M. Lavrskyi<sup>1,2</sup>

*Under the supervision of*

**N. Gey<sup>1</sup> and L. Germain<sup>1</sup>**

*In collaboration with*

**Ph. Charobert<sup>3</sup>, N. Loukachenko<sup>3</sup>, A. Couturier<sup>3</sup> and N. Kohout<sup>2</sup>**

**S. Breumier<sup>1,2</sup> and T. Martinez Ostormujof<sup>1</sup>**



## **I. Context and Motivation**

## **II. Semantic Segmentation with U-NET**

## **III. Results**

- **1<sup>st</sup> results on the DP steel**
- **Results on multiphase steels**

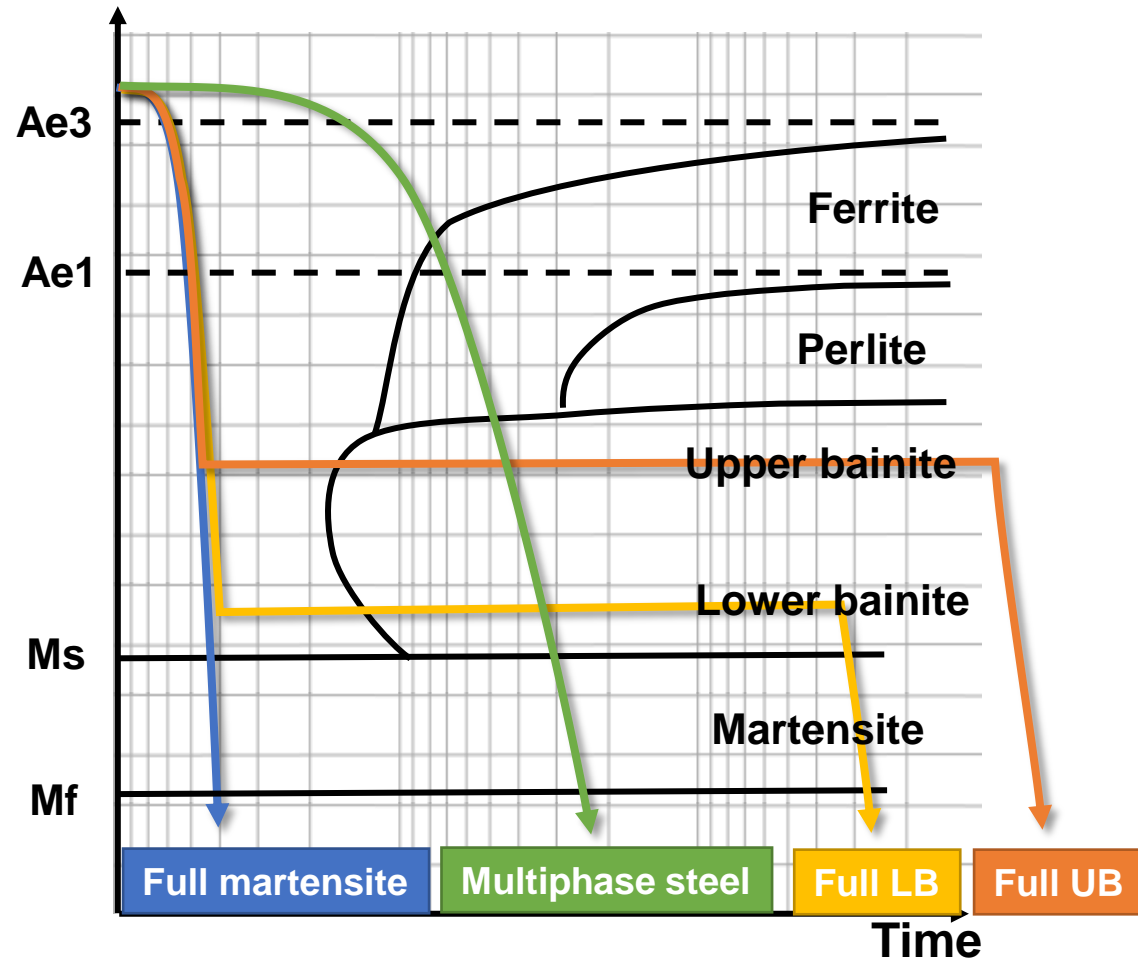
## **IV. Generalized model**

## **V. Conclusions**

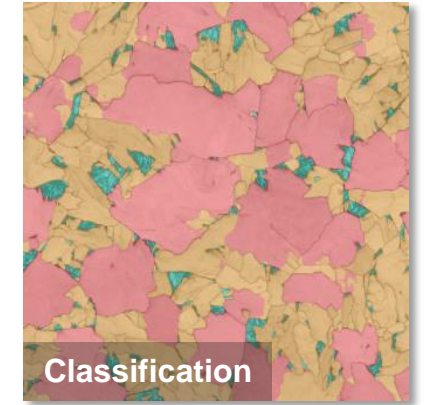
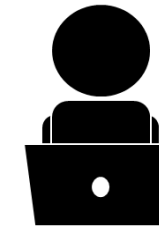
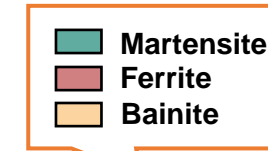
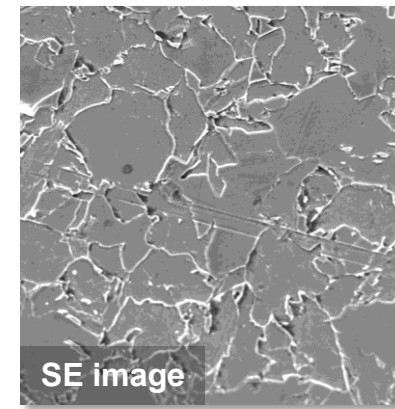
# I. Context and Motivation

## Ferrite – Bainite – Martensite discrimination in low carbon steels

Phase discrimination and quantification of steels is key to better control their properties



### Manual classification after chemical etching



Very laborious task

Subjective result

Chemical etching does not reveal all relevant information



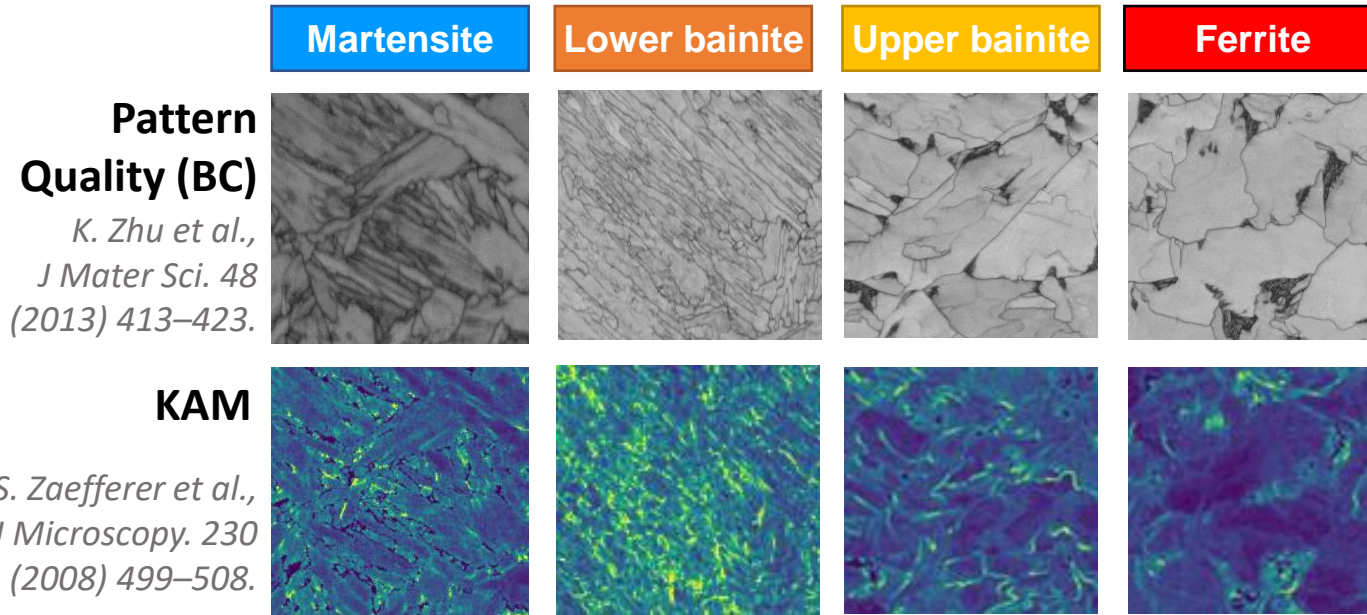
Artificial intelligence automation



EBSD-based data

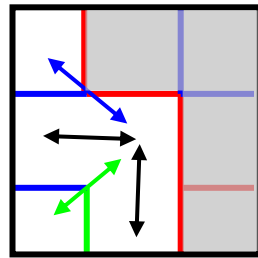
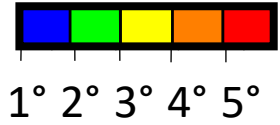
# I. Context and Motivation

## Benefits of EBSD-based data to distinguish the phases



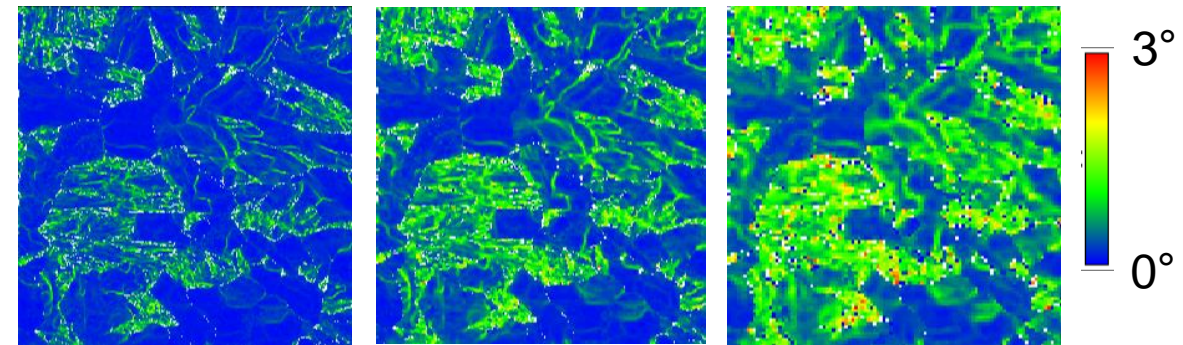
# I. Context and Motivation

## Calculation of KAM



$$(1+0+2+0)/4 = 0.75^\circ$$

- The KAM is calculated from the disorientations in a kernel (often 3x3)
- We ignore the pixels that are disoriented beyond a certain threshold (3°) or the pixels in another grain



Acquisition step size:

0.1 μm

0.2 μm

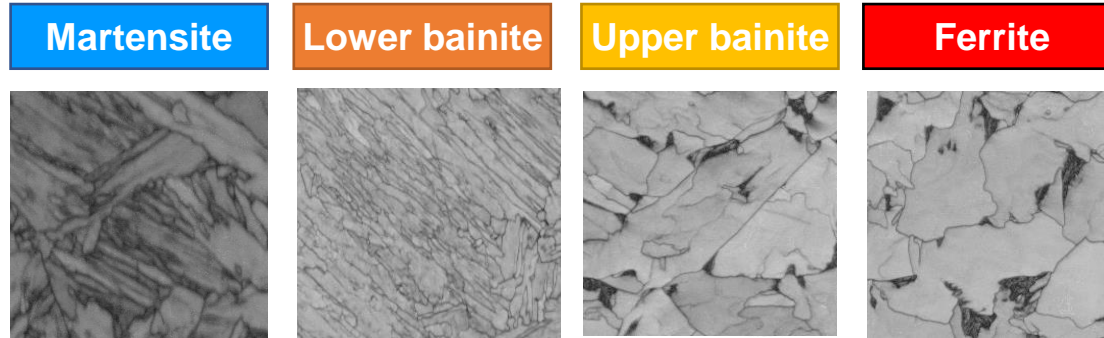
0.4 μm

# I. Context and Motivation

## Benefits of EBSD-based data to distinguish the phases

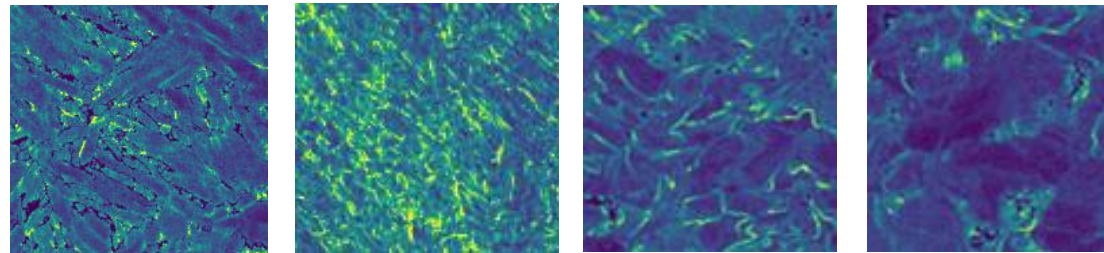
**Pattern Quality**

*K. Zhu et al.,  
J Mater Sci. 48  
(2013) 413–423.*

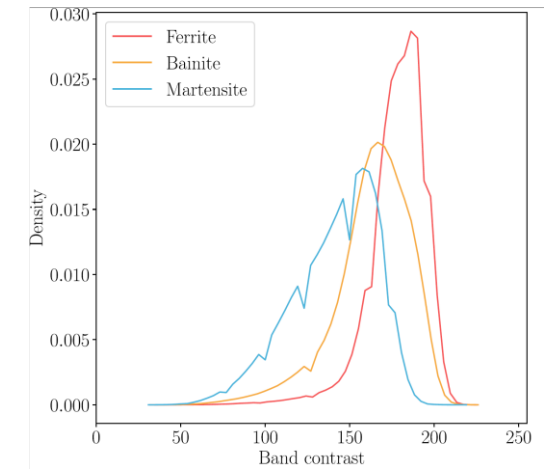


**KAM**

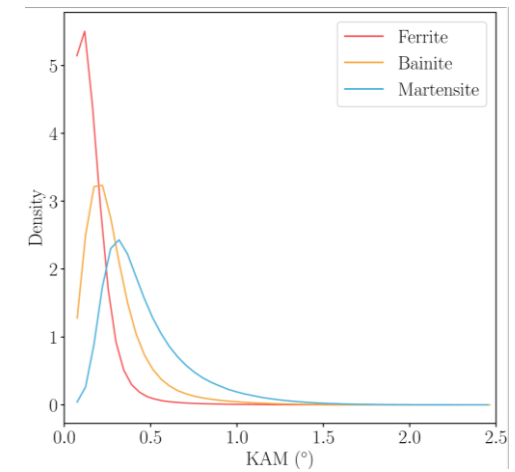
*S. Zaefferer et al.,  
J Microscopy. 230  
(2008) 499–508.*



**Band contrast distribution**



**KAM distribution (°)**



**Main goal**

Train an AI model based on EBSD data to automatically classify Steel transformation products

I. Context and Motivation

**II. Semantic Segmentation with U-NET**

III. Results

- 1<sup>st</sup> results on the DP steel
- Results on multiphase steels

IV. Generalized model

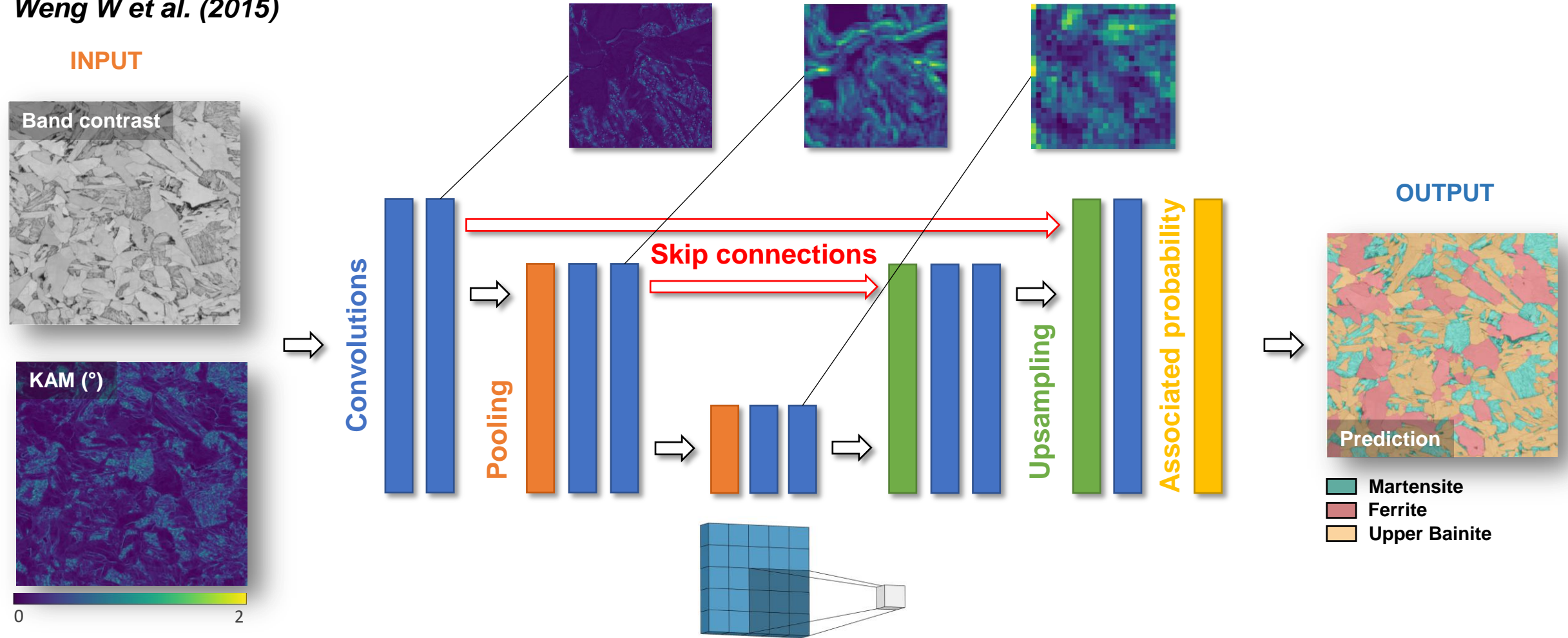
V. Conclusions

# II. Semantic Segmentation with U-Net

## Working principle

U-Net is a Convolutional Neural Network (CNN) that enables the pixel-wise classification of an image

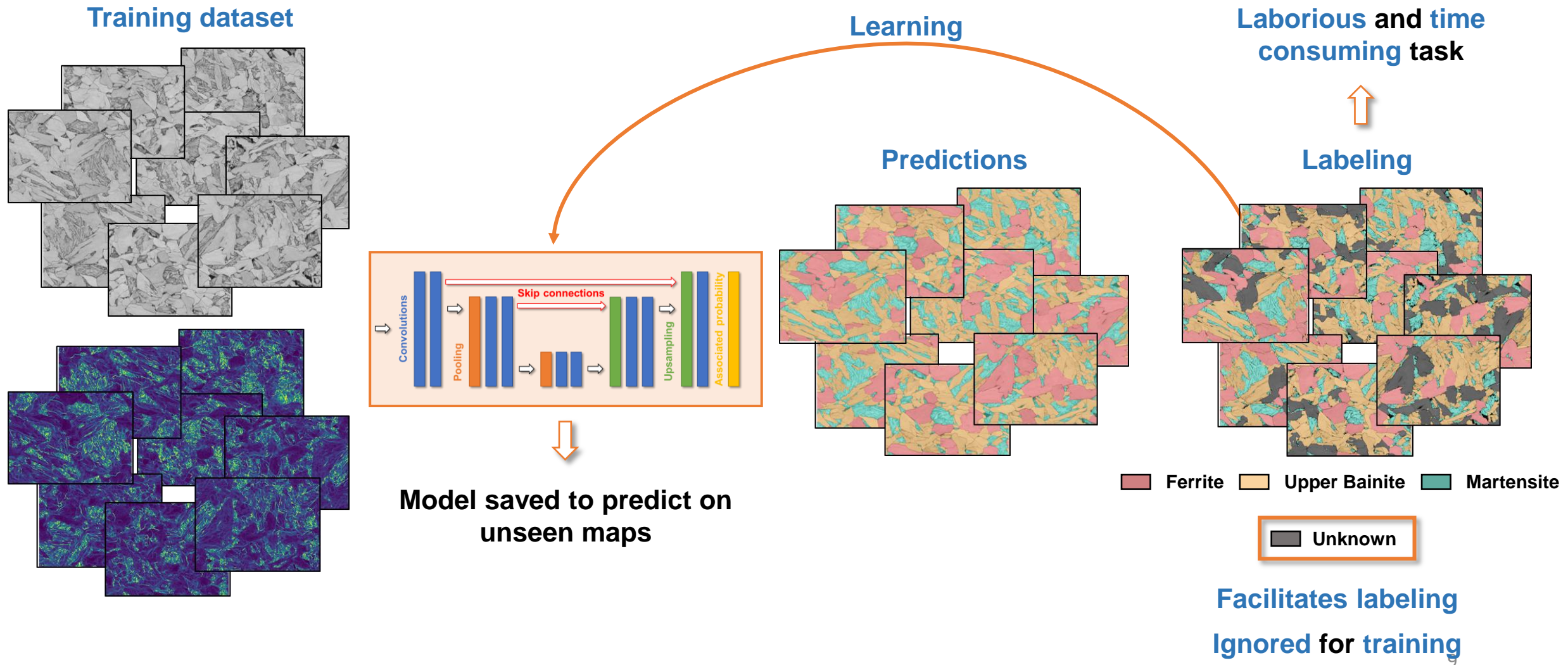
Weng W et al. (2015)



# II. Semantic Segmentation with U-Net

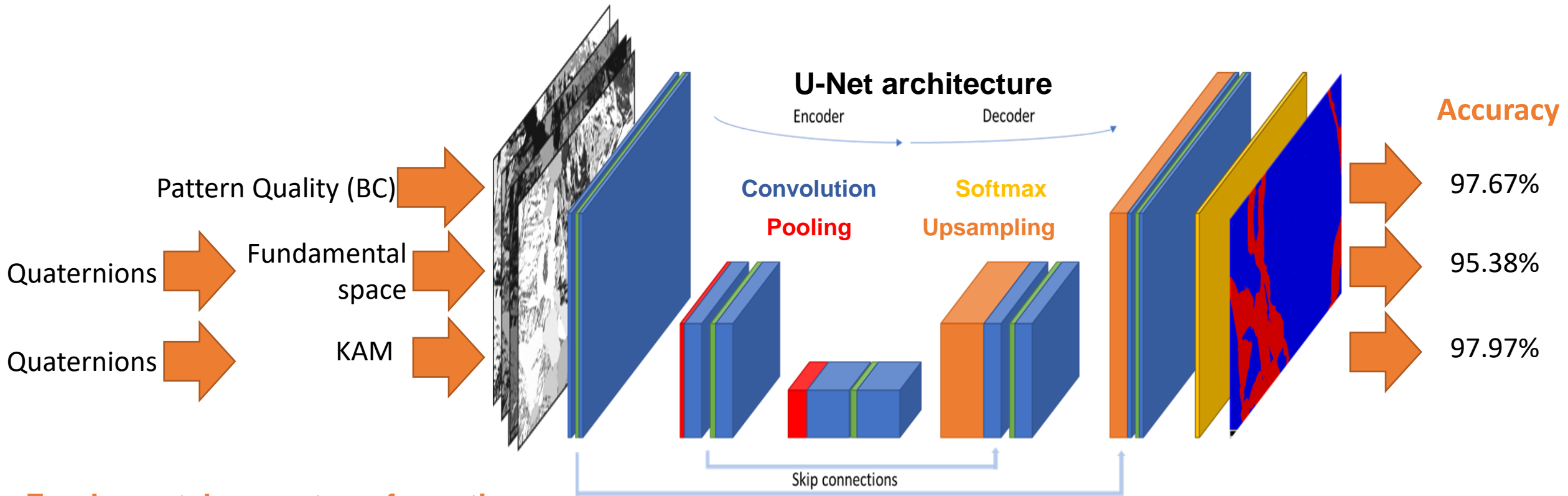
## Training a segmentation model

Supervised Learning approach: the training images must be provided with their respective labeling



# II. Semantic Segmentation with U-Net

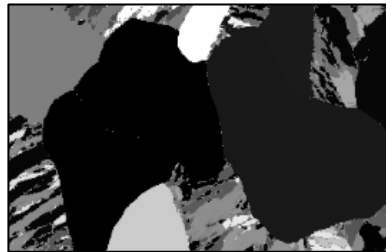
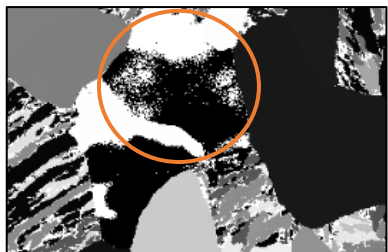
## Choice of the inputs



### Fundamental space transformation

Raw quaternions

Fundamental space



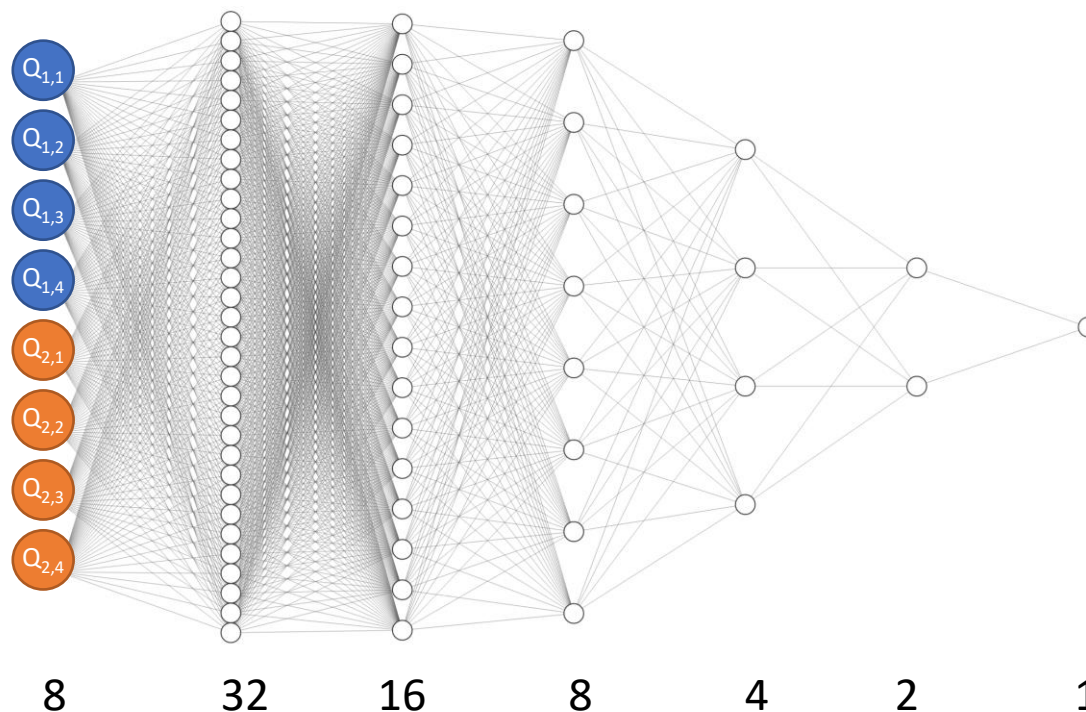
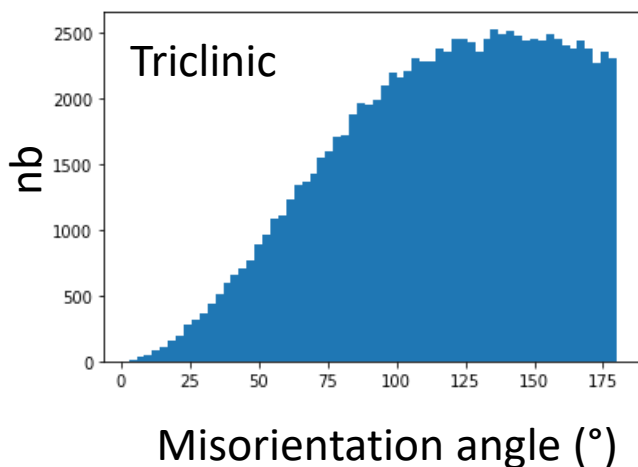
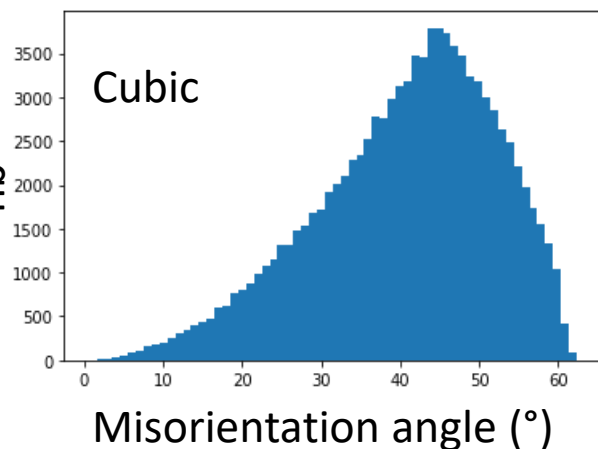
# II. Semantic Segmentation with U-Net

## Choice of the input parameters

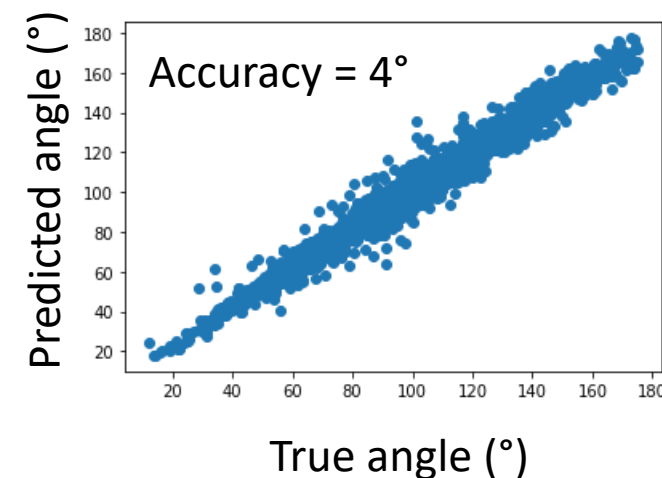
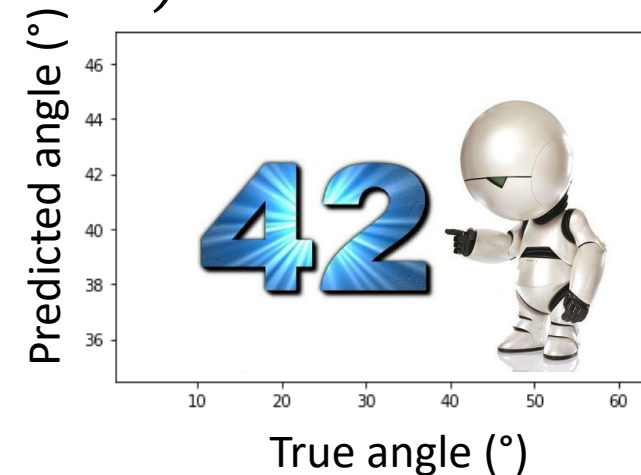
Can a NN understand what misorientation is?

$$mis(Q_1, Q_2) = \min_{i,j} \left( (Q_2 \times S_j)^{-1} \times Q_1 \times S_i \cdot Q_{ID} \right)$$

100 000 random couples



200 epochs – 15min training



I. Context and Motivation

II. Semantic Segmentation with U-NET

**III. Results**

- **1<sup>st</sup> results on the DP steel**
- **Results on multiphase steels**

IV. Generalized model

V. Conclusions

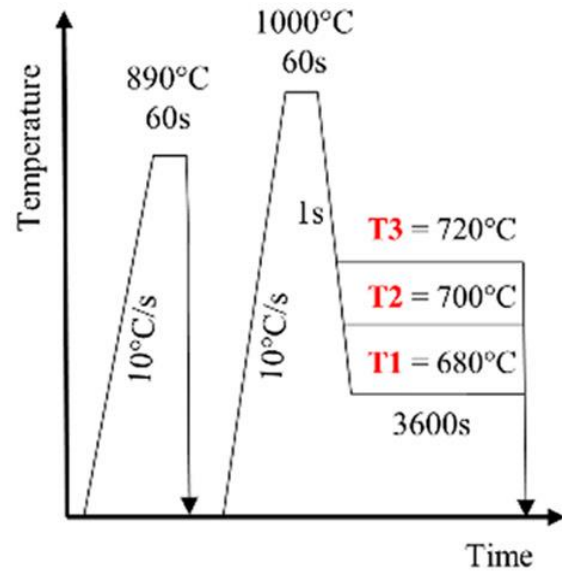
# III. Results

Martensite  
Ferrite

Studied material



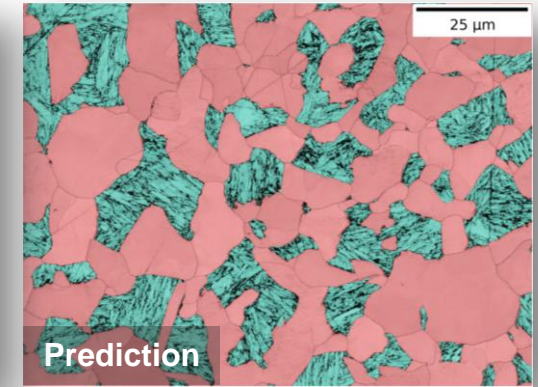
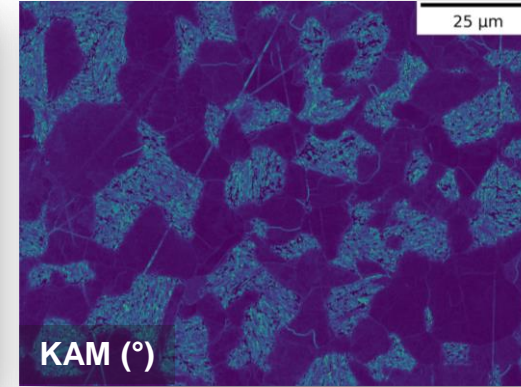
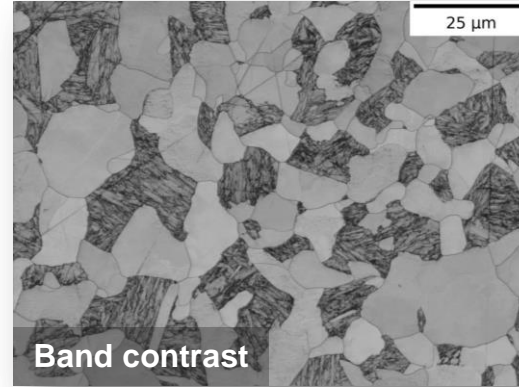
DP steel with composition  
0.17%C and 1.5%Mn



## Training dataset

- 6 EBSD maps
- 856x1141 pixels
- Acquisition step size 0.1 μm

T = 680°C



98% accuracy

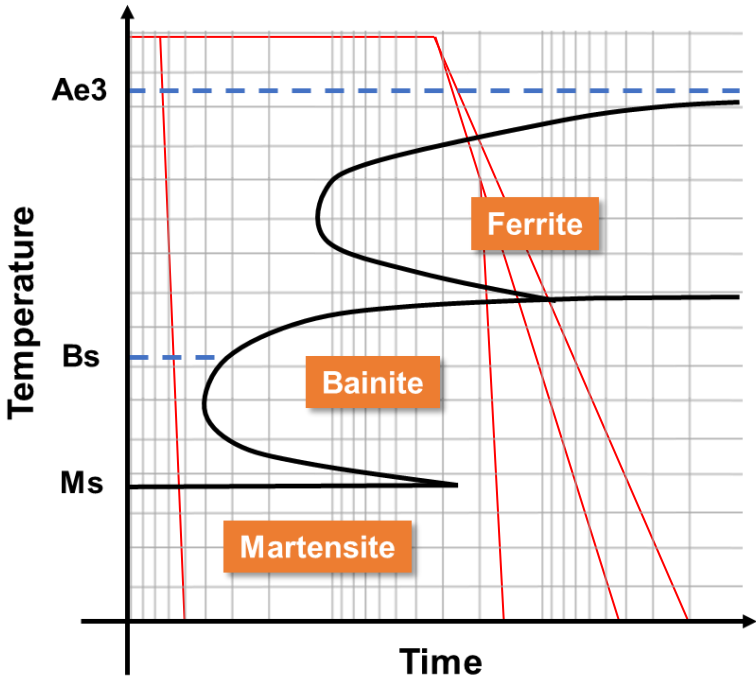
Applicable only to dual phase steel,  
unable to recognize Bainite

~ 98% accuracy

# III. Results

Martensite  
Ferrite  
Upper Bainite

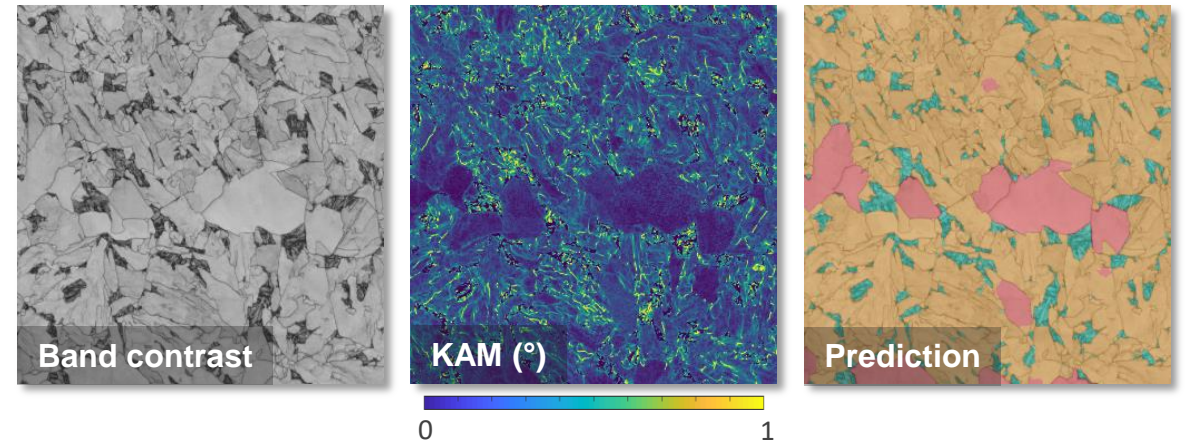
Studied material 



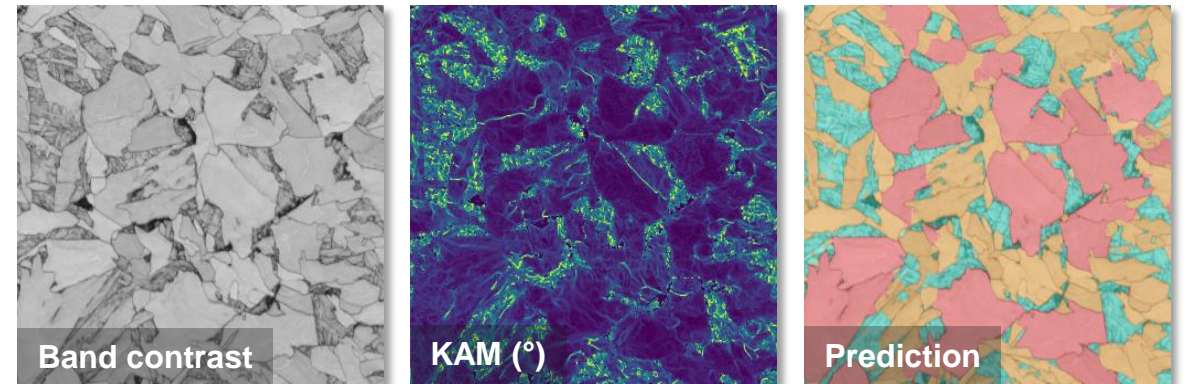
## Training dataset

- 4 EBSD maps
- 2520x945 pixels
- Acquisition step size 0.1  $\mu\text{m}$

F 20% UB 75% M 5% **94% accuracy**



F 35% UB 45% M 20% **87% accuracy**



Over 87% accuracy depending on the microstructure complexity

# III. Results

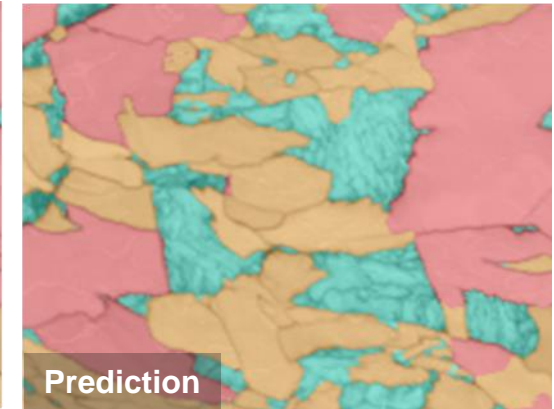
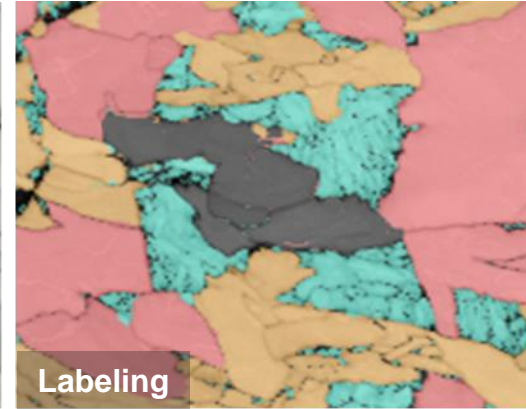
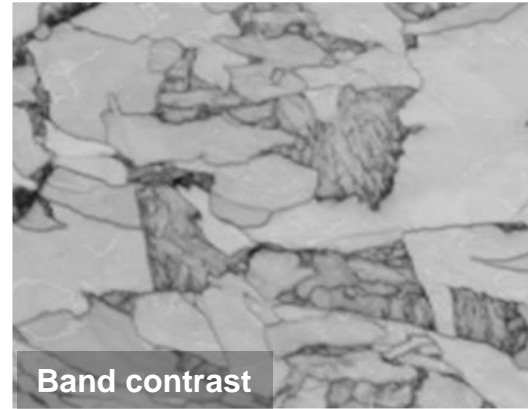
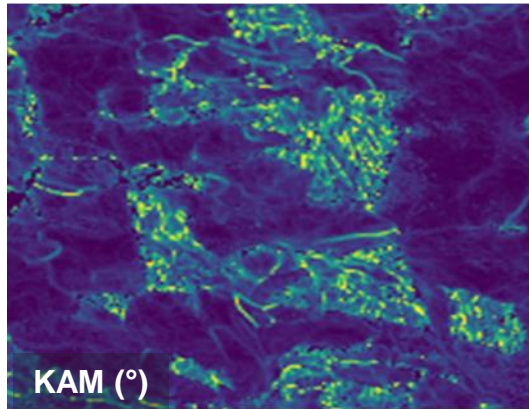
## Prediction of unknown class



Case 1: Ambiguous grains



Coherent result based on KAM analysis



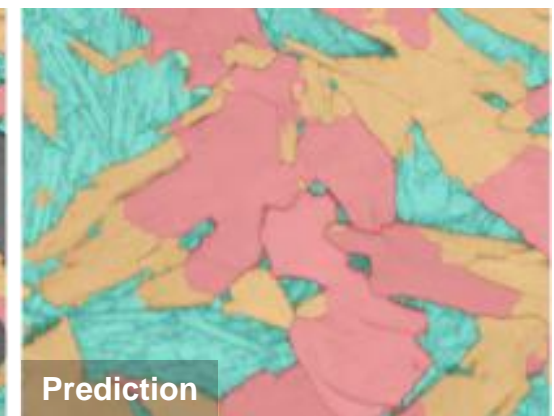
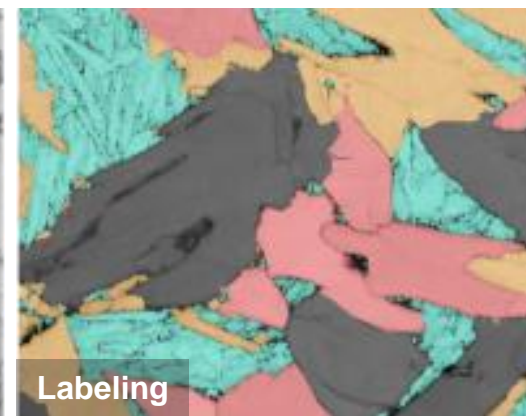
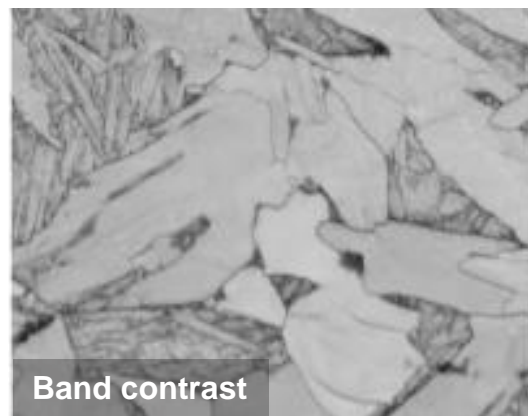
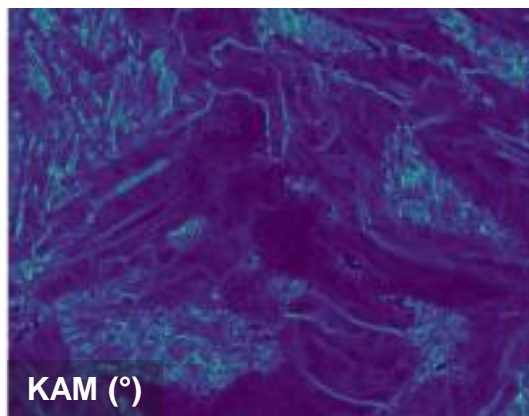
Case 2: Ambiguous F-UB boundary



Wrong boundary shape



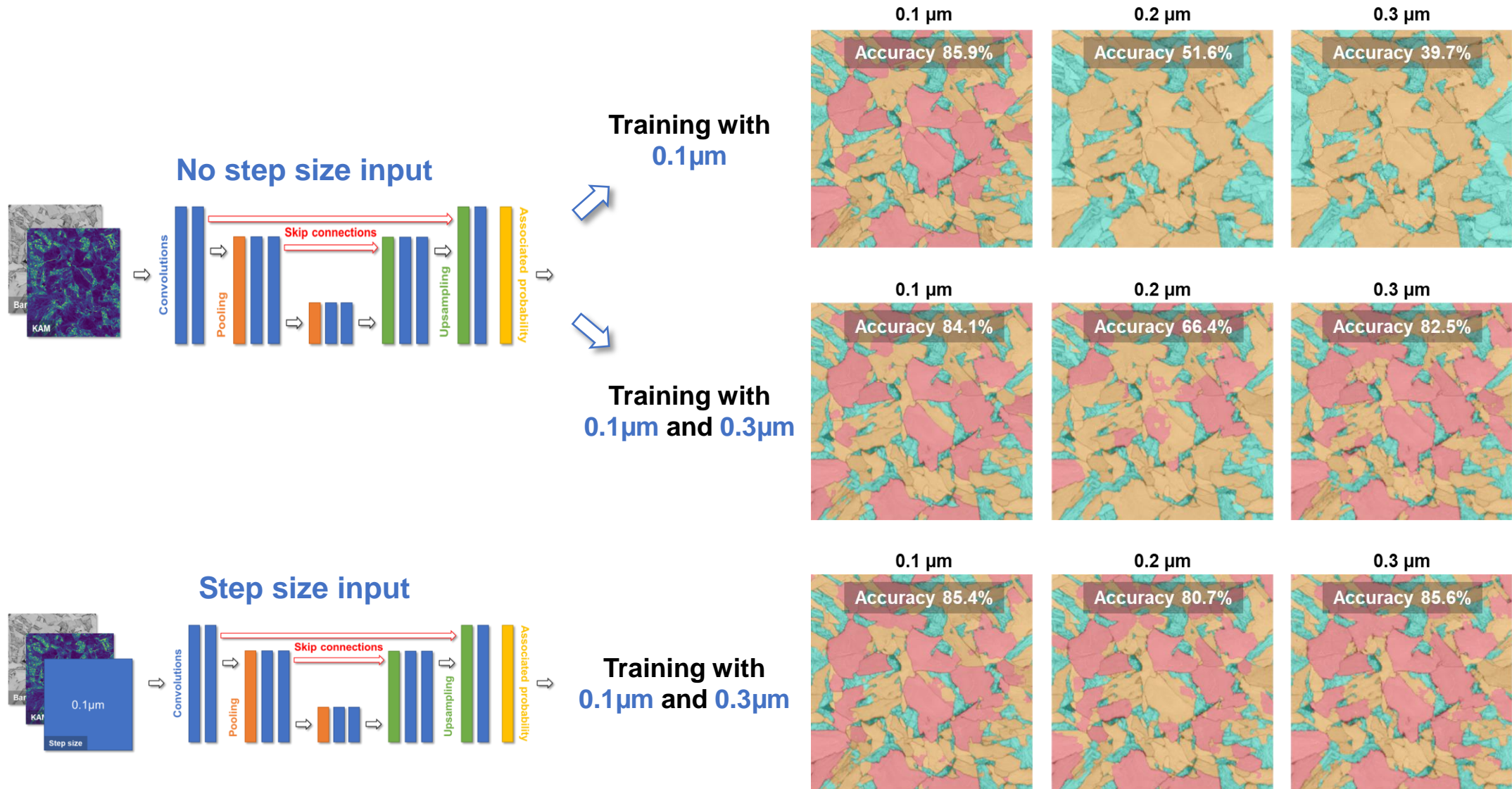
Labeling needed



# III. Results

## Influence of the acquisition step size

Martensite  
Ferrite  
Upper Bainite



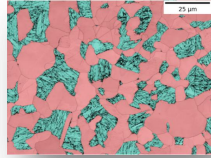
# III. Results

Martensite  
Ferrite  
Upper Bainite

## U-Net model for DP steel

6 EBSD maps  
856x1141 pixels

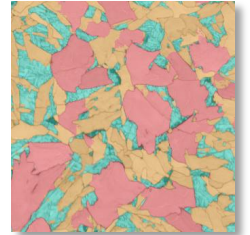
>95% accuracy



## U-Net model for triple phase steel

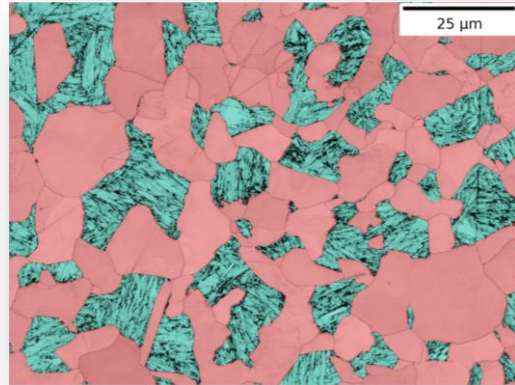
4 EBSD maps  
2520x945 pixels

>87% accuracy

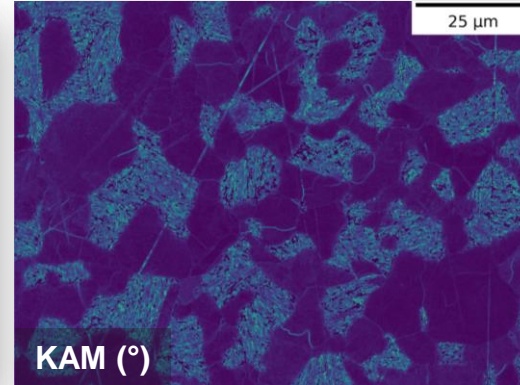
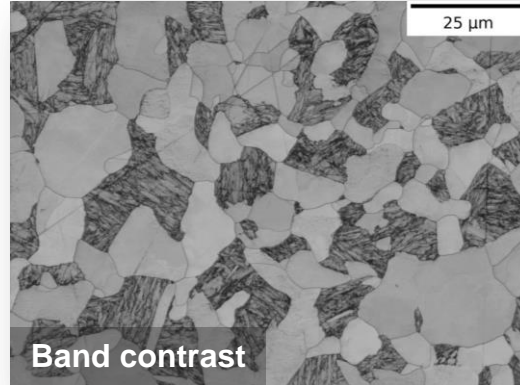


Low performance on DP steel dataset

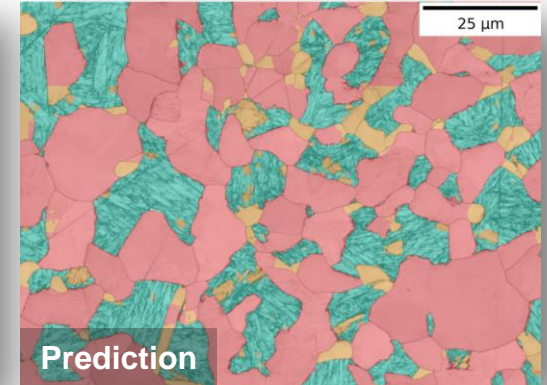
98% accuracy



F 64% UB 9% M 27%



90% accuracy



I. Context and Motivation

II. Semantic Segmentation with U-NET

III. Results

- 1<sup>st</sup> results on the DP steel
- Results on multiphase steels

**IV. Generalized model**

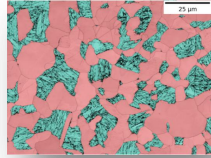
V. Conclusions

# IV. Generalized Model

## U-Net model for DP steel

6 EBSD maps  
856x1141 pixels

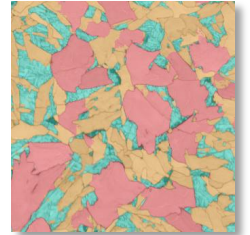
~98% accuracy



## U-Net model for triple phase steel

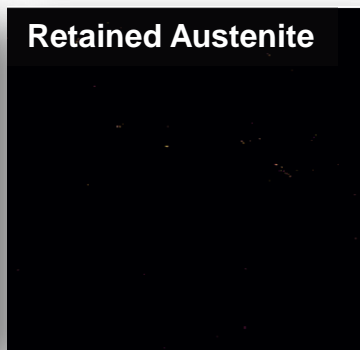
4 EBSD maps  
2520x945 pixels

>87% accuracy



Retained Austenite

New INPUTS



## Unified U-Net model for triple phase steel

2 EBSD maps  
SA533  
API5L  
2520x945  
pixels

4 EBSD maps  
for DP steel  
856x1141  
pixels

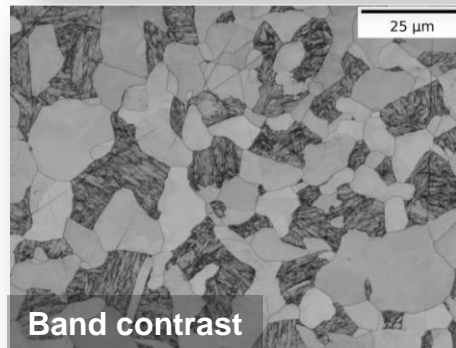
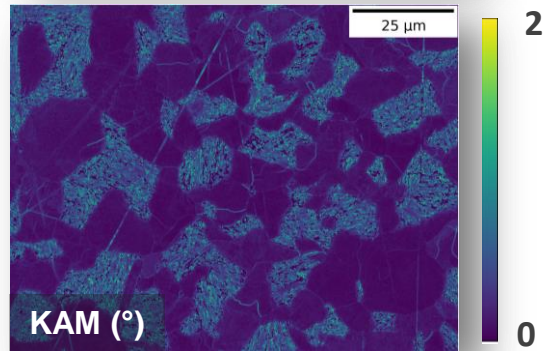
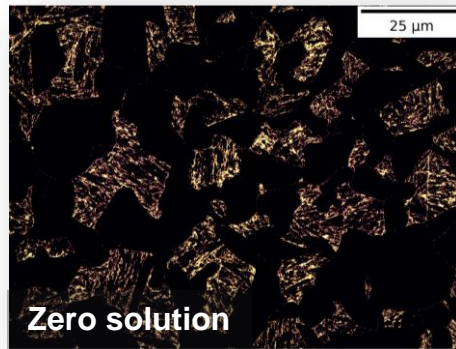
## Balanced dataset

Martensite	Bainite	Ferrite
34.5%	33.0%	32.5%

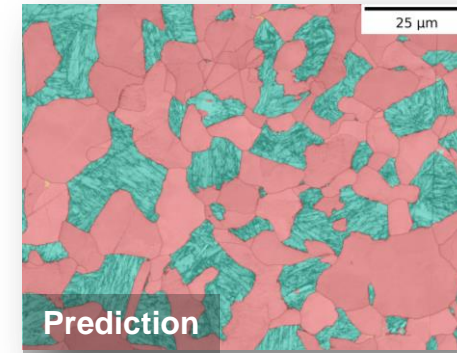
# IV. Generalized Model

## Model performance on unseen maps

Martensite  
Ferrite  
Upper Bainite



F 71% UB 0% M 29%



99% accuracy

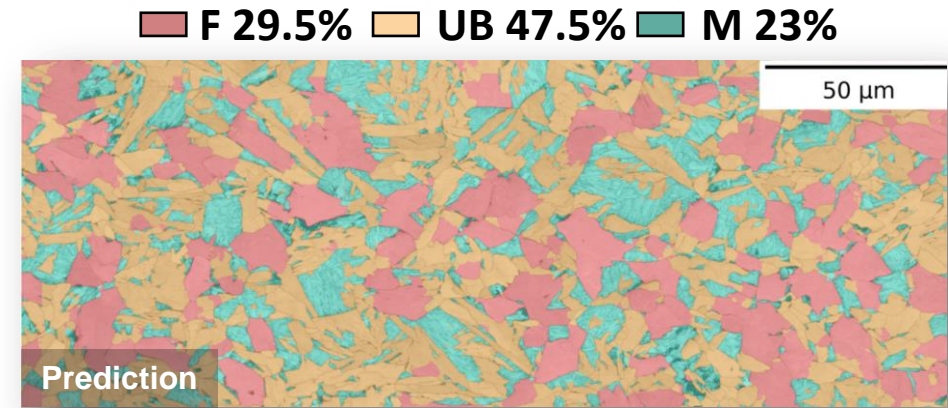
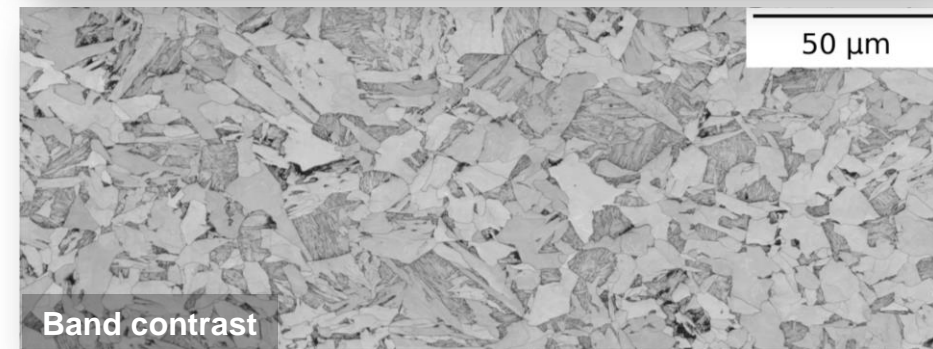
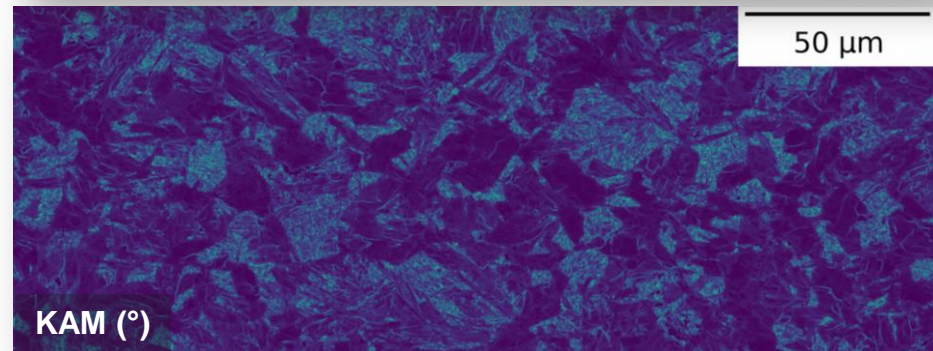
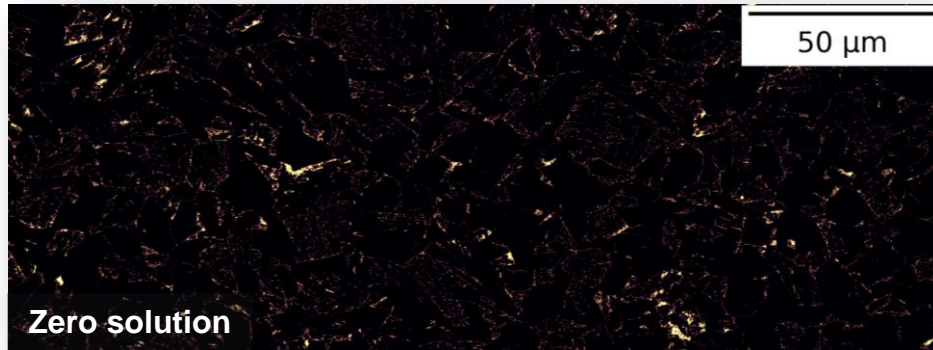
Great discrimination between Ferrite and Martensite

~ 99% on DP steel data

# IV. Generalized Model

## Model performance on unseen maps

Martensite  
Ferrite  
Upper Bainite



82% accuracy

Complicated discrimination between Ferrite and Upper Bainite

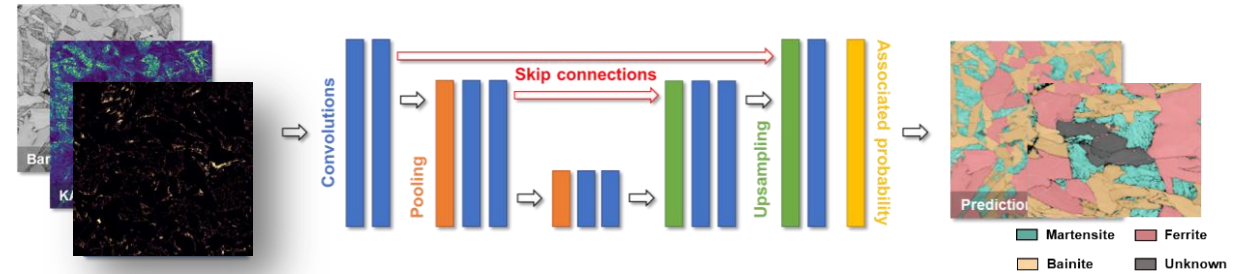
Over 80% accuracy depending on the microstructure complexity

# V. Conclusions

## Conclusions

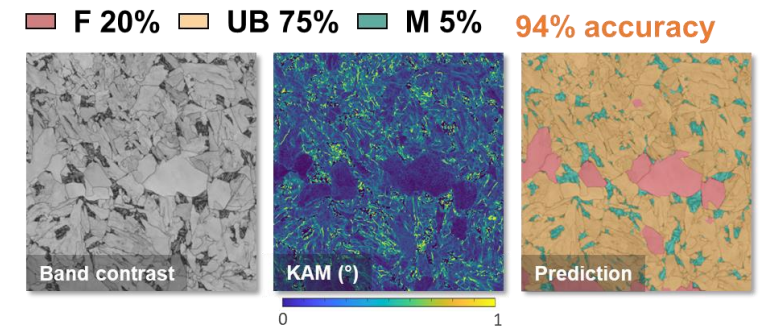
### U-Net implementation

- U-Net architecture trained on EBSD maps to automatically segment steel microstructures.
- Independency of the acquisition step size.
- Zero Solution and Retained Austenite pixels were introduced as new input.



### Model performance

- Accuracies above 80% depending on the complexity of the particular case.
- Ferrite-Upper Bainite discrimination is complex.
- Generalized for different datasets.
- Result in terms of minutes, faster than conventional approaches.
- Well adapted to perform a repetitive task.



# Thank you for your attention

# Augmented data

